

股票交收指示

Settlement Instructions Form

證券帳戶號碼 Securities Account No	客戶名稱 Client Name
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Please take this my/our instruction to receive/transfer the following securities for my/our account.

遵從以下股票變動指示：

- () Delivery to 傳送 (SI) STM INSTR 證券行與託管商/結算公司交收指示
- () Receive from 接收 (ISI) INVESTOR STM INSTR 個人投資者交收指示
(ISI) A/C No 投資者戶口號碼：_____

	Stock Name 股票名稱	Code No 股票代號	Quantity 數量	Instr. Input No. 輸入號碼	Remark 備註
1					
2					
3					
4					

(必須填寫) Payment Instruction 付款方式： FOP 毋須付款 / DVP 貨銀對付 \$ _____

Client Account Number : _____

Purpose : _____

本人/吾等確認上述股票受益人身份並沒有因進行上述股票轉移而改變。本人/吾等亦承諾就本指示所可能令貴公司蒙受或承擔的任何費用或支出，向貴公司作出彌償及使之獲得彌償。

I /We confirm that there is no change of beneficial ownership involved in this transfer. I/We also undertake to indemnify and keep your Company indemnified in respect of any costs and expenses whatsoever which may be suffered or incurred by you in connection with this instruction.

對方商戶資料(必須填寫)

Counterparty & Participant ID 參與者名稱及編號	Contact Person 聯絡人	Telephone 聯絡電話	Value Date 日期



客戶簽署(公司蓋章)
Client's Signature (With Company Chop)

簽署日期 Sign date (dd 日/mm 月/yyyy 年)

內部使用 Internal Use Only		
Prepared By	Checked By	Approved By